

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Houghton et al.)
Serial No.:) Examiner:
Filing Date:) Art Unit:
)

Title: TRACKING ACCOUNT STRUCTURE TO SUPPORT DIFFENT LEVERAGE
LEVELS WITHIN AN INVESTMENT FUND

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Applicants, in accordance with their duty of disclosure pursuant to 37 C.F.R. § 1.56, hereby advise the United States Patent and Trademark Office of the reference(s) listed on the accompanying form PTO/SB/08. A copy of each reference is enclosed.

Applicants note that although the cited references may be relevant to the examination of the above-referenced application, under 37 C.F.R. § 1.97(h), the filing of this *Information Disclosure Statement* "shall not be construed to be an admission that the information cited in the statement is, or is considered to be, material to patentability as defined in § 1.56(b)."

Respectfully submitted,

Date: March 26, 2003


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<p>Sheet 1 of 1</p>		Application Number			
		Filing Date			
		First Named Inventor		Houghton	
		Art Unit			
		Examiner Name			

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

Examiner Signature		Date Considered	
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***EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

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